	1	1
Se	cter	$\mathcal{S}^-$

PTO/SB/21 (08-00) lease type a plus sign (+) inside this box -> [+] Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Numb r 09/731,317 TRANSMITTAL Filing Date December 6, 2000 E & TRAI FORM First Named Inventor Normand Nantel (to be used for all correspondence after initial filing) Group Art Unit **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 015225-005310US ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Declaration Terminal Disclaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees Certified Copy of Priority Remarks to Deposit Account 20-1430. Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Crew LLP Darin J. Githty Reg. No. 38,464 Individual name Signature Date March 21, 2001

**CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 21, 2001

Typed or printed name

Coppie Larson

Signature

Date

March 21, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DE 7036302 v1

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Group Art Unit

FEE TRANSMITTAL for FY 2001

Complete if Known 09/731,317 **Application Number** December 6, 2000 Filing Date First Named Inventor Normand Nantel **Examiner Name** 

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

015225-005310US Attorney Docket No.

METHOD OF PAYMENT (check one)					FEE C	ALCULATION (continued)		
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to		3. ADD	ITIONAL	FEES				
1. 🖾 indicated fees and credit any over payme	nts to:		Large Entity		Small Entity			
Deposit		Fee	Fee	Fee	Fee	Fee Description	Fee	
Account 20-1430		Code	(\$)	Code	(\$)	·	Paid	
Number		105	130	205	65	Surcharge - late filing fee or oath	130	
Deposit		127	50	227	25	Surcharge - late provisional filing or cover sheet.	fee	
Account Townsend and Townsend and Crew LLP		139	130	139	130	Non-English specification		
Name		147	2,520	147	2,520	For filing a request for reexaminate	tion	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		112	920*	112	920*	Requesting publication of SIR price Examiner action	or to	
Applicant claims small entity status. See 37 CFR 1.27		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	er	
2. Payment Enclosed:		115	110	215	55	Extension for reply within first mor	nth	
_ ,		116	390	216	195	Extension for reply within second		
☐ Check ☐ Credit card ☐ Money ☐ Other						month		
Order		117	890	217	445	Extension for reply within third mo	onth	
FEE CALCULATION		118	1,390	218	695	Extension for reply within fourth		
BASIC FILING FEE		128	4 900	222	045	month		
Large Entity Small Entity			1,890	228	945	Extension for reply within fifth mo	ntn	
Fee Fee Fee Fee Description		119	310	219	155	Notice of Appeal		
Code (\$) Code (\$)	Fee Paid	120	310	220	155	Filing a brief in support of an appe	tai l	
101 710 201 355 Utility filing fee		121	270	221	135	Request for oral hearing		
106 320 206 160 Design filing fee 107 490 207 245 Plant filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding		
3		140	110	240	55	Petition to revive - unavoidable		
108 710 208 355 Reissue filing fee		141	1,240	241	620	Petition to revive – unintentional		
114 150 214 75 Provisional filling fee		142	1,240	242	620	Utility issue fee (or reissue)		
SUBTOTAL (1)	(\$)	143	440	243	220	Design issue fee		
SUBTUTAL (1)		144	600	244	300	Plant issue fee		
2. EXTRA CLAIM FEES		122	130	122	130	Petitions to the Commissioner		
Extra Fee from Claims below	Fee Paid	123	50	123	50	Petitions related to provisional		
Total Claims = X	=			0		applications	,uro	
Independent	_	126	180	126	180	Submission of Information Disclos Stmt		
Claims = X	=	581	40	581	40	Recording each patent assignment per property (times number of	nt	
Multiple X	=					properties)	1	
Dependent ^  Large Entity Small Entity		146	710	246	355	Filing a submission after final reje (37 CFR § 1.129(a))	ction	
Fee Fee Fee Fee Description		149	710	249	355	For each additional invention to b examined (37 CFR § 1.129(b))	e	
Code (\$)		179	710	279	355	Request for Continued Examination		
102 80 202 40 Independent claims in e	xcess of 3	160	000	160	000	(RCE)		
104 270 204 135 Multiple dependent clair	n, if not paid	169	900	169	900	Request for expedited examination of design application	, a	
109 80 209 40 ** Reissue independent original patent	09 80 209 40 "Reissue independent claims over							
110 18 210 9 ** Reissue claims in exc over original patent								
				ioner is	author	.,		
				The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.				
**or number previously paid, if greater; For Reissues, see above								
SUBMITTED BY				Сотпртете (іт аррпісаві	<u>e)</u>			
Name (Print/Type) Danin J. Gibby Registration No. Attorne		//Agent)	38,4	164		Telephone (303) 571-4000		
			1 00,4		- +			
Signature Date March 21, 2001								
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								



## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/731,317

12/06/2000

Normand Nantel

015225-005310US

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834 CONFIRMATION NO. 1032
FORMALITIES LETTER
\*OC000000005736318\*

Date Mailed: 02/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant-is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

o The oath or declaration is missing.

- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/30/2001 SDENBOB1 00000004 201430 09731317

01 FC:105

130.00 CH

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY